UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P O Box 1450 Alexandria, Virgima 22313-1450 www.uspto.gov

## NOTICE OF ALLOWANCE AND FEE(S) DUE

23308 7590 09/29/2008
PETERS VERNY , L.L.P.
425 HERMAN AVENUE
SUITE 230
PALO ALTO CA 94306

EXAMINER

NAFF, DAVID M

ART UNIT PAPER NUMBER

TO, CA 94506 DATE MAILED: 09/29/2008

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/625,822	07/22/2003	Shuichi Mizuno	3831.09	7790

 $\hbox{TITLE OF INVENTION: METHOD FOR IN SITU REPAIR OF INJURED, DAMAGED, DISEASED OR AGED ARTICULAR CARTILAGE \\$ 

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$720	\$300	\$0	\$1020	12/29/2008

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 1SI. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FFE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

# PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

appropriate. All further indicated unless corrects maintenance fee notifica	correspondence includir ed below or directed oth tions.	ng the Patent, advance of nerwise in Block 1, by (	rders and notification of n a) specifying a new corres	naintenance fees v pondence address:	ill be and/o	mailed to the current r (b) indicating a sepa	correspondence rate "FEE ADD	address as RESS" for
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)				Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, mus- have its own certificate of mailing or transmission.				
23308	7590 09/29	/2008	nave			of Mailing or Trans	mission	
PETERS VER 425 SHERMAN SUITE 230	AVENUE		I her State addr trans	reby certify that the es Postal Service vessed to the Mail smitted to the USP	is Fec( ith sul Stop TO (57	s) Transmittal is being ficient postage for firs ISSUE FEE address I) 273-2885, on the d	deposited with t class mail in a above, or being ate indicated belo	the United 1 envelope facsimile ow.
PALO ALTO, C	A 94306						(Depo	ositor's name)
								(Signature)
								(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTO	RNEY DOCKET NO.	CONFIRMATIO	ON NO.
10/625,822	07/22/2003		Shuichi Mizuno		3831.09 7790			
			D, DAMAGED, DISEASE				1	
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSU	S FEE	TOTAL FEE(S) DUE	DATE D	/UE
nonprovisional	YES	\$720	\$300	\$0		\$1020	12/29/2	008
EXAM	INER	ART UNIT	CLASS-SUBCLASS					
NAFF, D	AVID M	1657	424-423000					
	ondence address (or Cha 3/122) attached. ication (or "Fee Address 12 or more recent) attach	nge of Correspondence	2. For printing on the p (I) the names of up to or agents OR, alternativ (2) the name of a single registered attorney or a 2 registered patent attodisted, no name will be	3 registered pater vely, e firm (having as a gent) and the nam meys or agents. If	memb es of u	er a 2		
PLEASE NOTE: Uni recordation as set fort (A) NAME OF ASSIG	less an assignee is ident h in 37 CFR 3.11. Comp GNEE	ified below, no assignee pletion of this form is NO	THE PATENT (print or typ data will appear on the pa of a substitute for filing an (B) RESIDENCE: (CITY crinted on the patent):	atent. If an assign assignment. and STATE OR C	OUNT	TRY)		
4a. The following fee(s) ☐ Issue Fee	are submitted:	4	b. Payment of Fee(s): (Plea  A check is enclosed.  Payment by credit can  The Director is hereby overpayment, to Depo	se first reapply and. Form PTO-2038	is atta	viously paid issue fee sched.	shown above)	it any
	s SMALL ENTITY state	is. See 37 CFR 1.27.	☐ b. Applicant is no long					
NOTE: The Issue Fee an interest as shown by the	d Publication Fee (if req records of the United Sta	uired) will not be accepte tes Patent and Trademark	d from anyone other than to Office.	he applicant; a regi	stered :	attorney or agent; or th	e assignee or oth	er party in
Authorized Signature				Date				_
Typed or printed nam	e		Registration N	ю				
This collection of inform an application. Confiden submitting the completes this form and/or suggests Box 1450, Alexandria, V Alexandria, Virginia 223	ation is required by 37 C tiality is governed by 35 d application form to the ons for reducing this but firginia 22313-1450. DC 13-1450.	FR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the ONOT SEND FEES OR	on is required to obtain or r 1.14. This collection is est depending upon the indiv e Chief Information Office COMPLETED FORMS TO	etain a benefit by t imated to take 12 idual case. Any co r, U.S. Patent and D'THIS ADDRESS	he pub minuter mmen Trader	lic which is to file (and is to complete, includir is on the amount of ti- nark Office, U.S. Dep D TO: Commissioner	by the USPTO of g gathering, prep g gathering, prep government of Common for Patents, P.O.	to process) paring, and to complete nerce, P.O. Box 1450,

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# UNITED STATES PATENT AND TRADEMARK OFFICE

#### UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

s: COMMISSIONER FOR PATENTS P O Box 1450 Alexandria, Virgima 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/625,822	07/22/2003	Shuichi Mizuno	3831.09	7790	
23308	7590 09/29/2008		EXAM	UNER	
PETERS VERN	PETERS VERNY , L.L.P. NAFF, DAVID M				
425 SHERMAN	AVENUE		ART UNIT	PAPER NUMBER	
SUITE 230 PALO ALTO, CA 94306			1657 DATE MAII ED: 09/29/200	8	

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 572 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 572 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

# Application No. Applicant(s) 10/625 822 MIZUNO ET AL. Notice of Allowability Examiner Art Unit David M Naff 1657 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308. This communication is responsive to amendment of 9/11/08. The allowed claim(s) is/are 31-43. 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). b) \( \subseteq \text{Some\* c) \subseteq \text{None of the:} 1. T Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). \* Certified copies not received: \_\_\_\_\_. Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) hereto or 2) to Paper No./Mail Date (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d). 6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. Attachment(s) 1. | Notice of References Cited (PTO-892) 5. Notice of Informal Patent Application 2. Notice of Draftperson's Patent Drawing Review (PTO-948) Interview Summary (PTO-413), Paper No./Mail Date Information Disclosure Statements (PTO/SB/08). 7. X Examiner's Amendment/Comment Paper No./Mail Date 4. T Examiner's Comment Regarding Requirement for Deposit 8. T Examiner's Statement of Reasons for Allowance of Biological Material □ Other .

/David M. Naff/ Primary Examiner, Art Unit 1657 Application/Control Number: 10/625,822

Art Unit: 1657

### EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

<u>Title</u>, cancel the title and insert the following title --- METHOD FOR REPAIR OF CARTILAGE LESIONS ---.

Specification, page 1, line 6, after "2002" insert --- , now U.S. Patent No. 6,949,252, ---.

The amendment of 9/11/08 (page 7, second paragraph) states that a European supplementary search report and copies of references cited were filed with the Information Disclosure Statement (IDS) of Jan. 14, 2008 as evidenced by an attached copy of a stamped postcard received from the Patent Office on Jan. 17, 2008. The search report and copes of references filed Jan. 14, 2008 are found. However, the references were not listed on form PTO/SB/08A, 08B or PTO-1449 (MPEP 609.04(a)), and therefore have not been considered and will not be made of record.

### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to David M. Naff whose telephone number is 571-272-0920. The examiner can normally be reached on Monday-Friday 9:30-6:00.

Art Unit: 1657

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jon Weber can be reached on 571-272-0925. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/David M. Naff/ Primary Examiner, Art Unit 1657

DMN 9/25/08